



JFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Chung-Ching Huang, et al. : Group  
Serial No: 10/820,768 : Art Unit # 2113  
Filed: 09 April 2004 : Examiner:  
Title: DEVICE FOR DEBUGGING AND : Y. Wilson  
METHOD THEREOF

**PETITION AND/OR REQUEST FOR ENTRY OF INFORMATION  
DISCLOSURE STATEMENT PREVIOUSLY FILED AT THE U.S. PATENT AND  
TRADEMARK OFFICE**

Mail Stop --No Fee  
Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant, by the undersigned attorney hereby requests the entry of the Information Disclosure Statement and associated documents filed at the U.S. Patent and Trademark Office on 22 December 2005.

The undersigned Attorney has received an Official Action in this case dated 15 November 2006. The undersigned Attorney noticed that there was no attachment for an Information Disclosure Statement as is the usual case in Patent Applications where Information Disclosure Statements have been filed.

The undersigned Attorney then checked the PAIR system and noticed that there was no scanning into the file of the Information Disclosure Statement previously filed.

In fact, the Information Disclosure Statement was filed on 22 December 2005 at the U.S. Patent and Trademark Office. Attached to this Petition and/or Request is the Information Disclosure Statement (Exhibit A); and, a copy of the Receipt Postcard

showing hand-filing of the Information Disclosure Statement on 22 December 2005  
(Exhibit B).

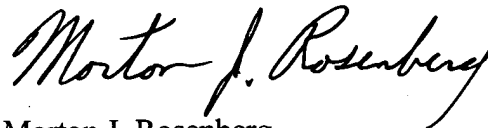
It is not known why the Information Disclosure Statement was not scanned into the electronic filing system at the U.S. Patent and Trademark Office.

It is thus respectfully requested that the Information Disclosure Statement now be properly scanned and entered into the file of the above-referenced Application.

It is not believed that there are any fees associated with this Petition and/or Request since the evidence attached shows the proper filing of the Information Disclosure Statement and thus its failure to be entered in the above referenced Patent Application file is due to an error of the U.S. Patent and Trademark Office. As the Information Disclosure Statement was originally filed prior to a first Office Action, no fee is due for that filing.

In the event it is decided that there are any fees necessary, the Honorable Director of Patents and Trademarks is hereby authorized to charge Deposit Account #18-2011 for such charges.

Respectfully submitted,  
For: ROSENBERG, KLEIN & LEE



Morton J. Rosenberg  
Registration #26,049

Dated: *1 Dec. 2006*

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Chung-Ching Huang, et al. :  
Serial No: 10/820,768 : Art Unit # 2185  
Filed: 09 April 2004 : Examiner:  
Title: DEVICE FOR DEBUGGING AND : Unknown  
METHOD THEREOF

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicants wish to make the following art references of record in the above-identified Patent Application pursuant to 37 C.F.R. §§ 1.97 and 1.98, and to the Duty of Disclosure set forth in 37 C.F.R. § 1.56

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject Patent Application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search was made or that no other material information, as defined in 37 C.F.R. § 1.56(b), exists.

The cited references are:

**I. U.S. Patent references**

| <u>Ref. No.</u> | <u>Patent No.</u> | <u>Issue Date</u> | <u>Inventor(s)</u> |
|-----------------|-------------------|-------------------|--------------------|
| A               | 6,968,410         | 11/22/2005        | Bennett, et al.    |
| B               | 6,711,642         | 03/23/2004        | Huang              |
| C               | 6,636,962         | 10/21/2003        | Sun, et al.        |
| D               | 6,571,206         | 05/27/2003        | Casano, et al.     |
| E               | 6,480,914         | 11/12/2002        | Hsieh              |
| F               | 2002/0099893      | 07/25/2002        | Nguyen, et al.     |
| G               | 6,282,601         | 08/28/2001        | Goodman, et al.    |
| H               | 6,272,618         | 08/07/2001        | Tyner, et al.      |
| I               | 6,209,083         | 03/27/2001        | Naini, et al.      |
| J               | 5,796,984         | 08/18/1998        | Pearce, et al.     |
| K               | 5,729,760         | 03/17/1998        | Poisner            |

**II Foreign Art References:**

| <u>Ref. No.</u> | <u>Patent No.</u> | <u>Issue Date</u> | <u>Inventor(s)</u> | <u>Language</u> |
|-----------------|-------------------|-------------------|--------------------|-----------------|
| A1              | EP0996061         | 04/26/2000        | Kawasaki, et al.   | English         |

This Information Disclosure Statement is being filed more than three months subsequent to the filing date of the subject Patent Application, but before the mailing of a first Office Action.

A Form PTO-1449 and a copy of the foreign reference are submitted along with this document. It is requested that the Examiner consider the references and make them of record in the above-referenced Patent Application.

Respectfully submitted,  
FOR: ROSENBERG, KLEIN & LEE



David I. Klein  
Registration No. 33,253

Dated: 20 Dec. 2003

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/820,768       |
| Filing Date            | 04/09/2004       |
| First Named Inventor   | C. Huang, et al. |
| Art Unit               | 2185             |
| Examiner Name          |                  |
| Attorney Docket Number | MR3003-80        |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    | A                     | US- 6,968,410                            | 11/22/2005                     | Bennett, et al.                                    |   |
|                    | B                     | US- 6,711,642                            | 03/23/2004                     | Huang  |   |
|                    | C                     | US- 6,636,962                            | 10/21/2003                     | Sun, et al.  |   |
|                    | D                     | US- 6,571,206                            | 05/27/2003                     | Casano, et al.                                     |   |
|                    | E                     | US- 6,480,914                            | 11/12/2002                     | Hsieh  |   |
|                    | F                     | US- 2002/0099893                         | 07/25/2002                     | Nguyen, et al.                                     |   |
|                    | G                     | US- 6,282,601                            | 08/28/2001                     | Goodman, et al.                                    |   |
|                    | H                     | US- 6,272,618                            | 08/07/2001                     | Tyner, et al.                                      |   |
|                    | I                     | US- 6,209,083                            | 03/27/2001                     | Naini, et al.                                      |   |
|                    | J                     | US- 5,796,984                            | 08/18/1998                     | Pearce, et al.                                     |   |
|                    | K                     | US- 5,729,760                            | 03/17/1998                     | Poisner  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
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|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    | A1                    | EP0996061   | 04/26/2000                     | Kawasaki, et al                                    |   |                |
|                    |                       |   |                                |  |   |                |
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|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Date: December 21, 2005

Hon. Director of Patents & Trademarks

Please acknowledge receipt of the document(s)  
described below by imprinting the Patent Office  
"date-stamp" hereon and returning this card to the  
addressee indicated on the reverse side hereof.



File No. MR3003-80 FEE DUE? YES AM'T ENC'D         
NO

Client Name Chung-Ching Huang et al.

Document(s) Filed Information Disclosure Statement

Art Unit # 2185 Examiner unknown

Document Serial #/Pat. # 10/820,768

Drawing Sheets        Abstract Pgs.        # Claims        Spec. Pages       

TM ☐ Patent ☒ Small Entity ☐ Large Entity ☐

Assignment ☐ Priority Document ☐ IDS ☒ Photographs ☐

Non-Publication Request ☐ Mult. Dep. Claims ☐ TM Specimen ☐

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EXHIBIT B